



JISC



DRAMBORA interactive

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WePreserve Conference
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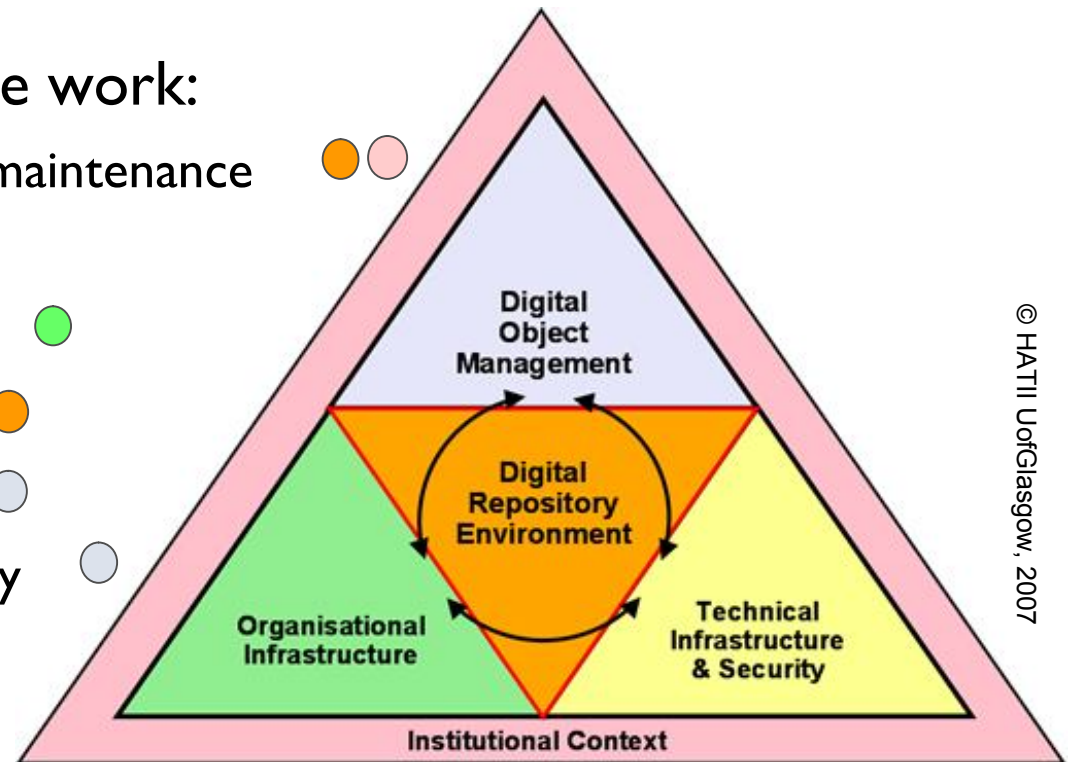
Digital Preservation Today

- Growth in creation of digital information with **scholarly, scientific** and **cultural** value continues to accelerate
- Practical approaches aimed at ensuring long-term **authenticity, integrity** and **understandability** of digital materials are emerging at a similar pace
- The discipline remains immature though:
 - Are adopted approaches **successful**?
 - What is the **metric** for defining success?
 - Which approaches are **appropriate** for particular digital preservation challenges?
 - Which preservation services and/or service providers can be **trusted**?

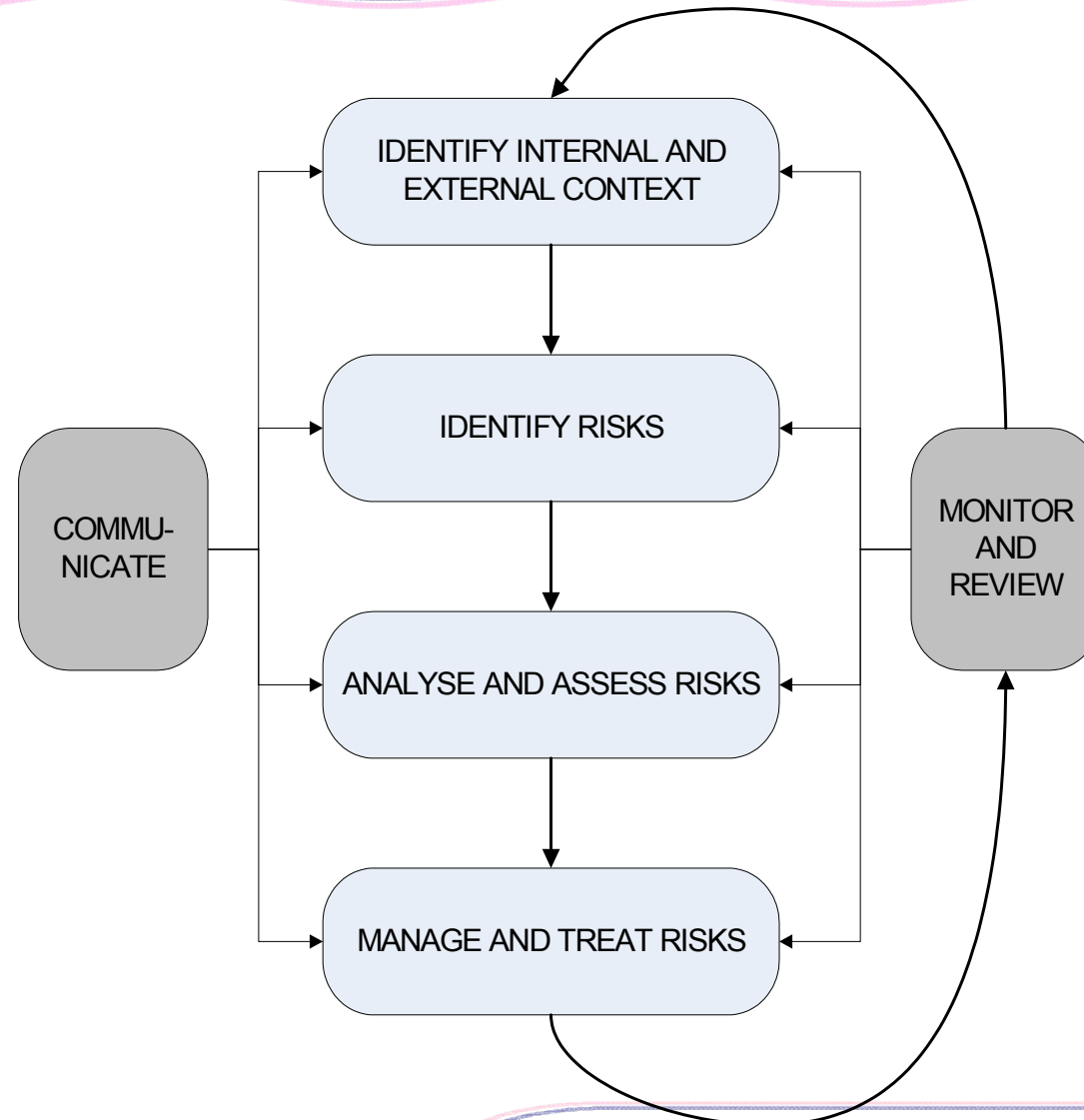


Digital preservation repository core criteria

- An intellectual context for the work:
 - Commitment to digital object maintenance
 - Organisational fitness
 - Legal & regulatory legitimacy
 - Effective & efficient policies
 - Acquisition & ingest criteria
 - Integrity, authenticity & usability
 - Audit trail and metadata
 - Dissemination
 - Preservation planning & action
 - Adequate technical infrastructure



Risk management: a recursive process



Chronology of this work

- **2002:** *Trusted Repositories Attributes & Responsibilities*
- **2002:** *Reference Model for an Open Archival Information System* (standardised as ISO 14721 in 2003)
- **2005:** *RLG/NARA Draft Audit Check-list for Repository Certification* released for public comment
- **2006-2007:** CRL and DCC Pilot Repository Audits
- **Dec 2006:** *Catalogue of Criteria for Trusted Digital Repositories* published (en) by nestor
- **Feb 2007:** *Digital Repository Audit Method Based on Risk Assessment (DRAMBORA)* published by DCC/DPE
- **Mar 2007:** *Trustworthy Repositories Audit & Certification (TRAC) Criteria and Check-list* published by CRL
- **Dec 2007:** *DELOS DRAMBORA Digital Library Audits Conducted*
- **Mar 2008:** *DRAMBORA Interactive* released



DRAMBORA: The Beginning

- Easy to say establish evidence and recognise risk, but how do you do this and then take advantage of this knowledge
- *Digital Repository Audit Method Based on Risk Assessment (DRAMBORA)*
- Provides mechanisms to facilitate internal self-assessment & reporting
 - Validates appropriateness of repository's efforts
 - Provides means to generate appropriate documentation
- External certification less of a priority currently, and less immediately viable



DRAMBORA: History

- Follows lessons learned from DCC pilot audits
- A collaborative exercise between DCC and Digital Preservation Europe (DPE)
- Audit methodology was released in March 2007
- <http://www.repositoryaudit.eu>
- Four public tutorials (London, the Hague, Arlington, JISC Repositories Conference)
- A test period within the DPE project

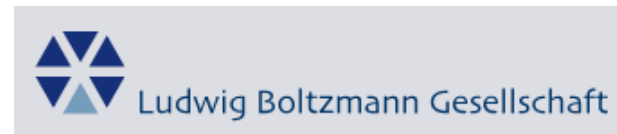


Testing DRAMBORA 1.0

- National Archives of Scotland, Edinburgh, UK
- National Library of the Czech Republic
- National Central Library of Florence, Italy
- International Institute for Social History, Amsterdam, The Netherlands
- Netarkivet (Danish Internet Archive), Denmark
- Ludwig Boltzmann Institute in Linz, Austria, in cooperation with the Ars Electronica Center
- E-LIS repository managed by CILEA, Rome, Italy
- Lithuanian Museum of Ethnocosmology, Lithuania



The NATIONAL ARCHIVES of SCOTLAND



E-prints in Library and Information Science



What DRAMBORA users learned...

- *“Good, visible and persuading documentation of risks might help to improve conditions for their successful management. And, of course, as soon as you have the truly trusted repository, you need the good documentation and certification to prove it”*
- *“We discovered some points of weakness in the repository and also learned to stop fretting about the stuff we actually do very well”*
- *“Assessment will be continued and the risk register will be an integral part of the repository once it becomes operational”*
- *“We originally planned to use TRAC for both our internal and later external audit. We also looked at NESTOR. [...] we believe that regular self audits using DRAMBORA will make the external audit easier and cheaper”*

DRAMBORA Interactive

- The pilot audits validated the methodology's effectiveness - audit as a standalone process has demonstrable value
- Development of DRAMBORA Interactive as an on-line tool to support and guide the audit
- Another round of pilot audits within the DPE to test the on-line tool and to provide feedback for improving it

DRAMBORA Interactive

DRAMBORA Online Tool :: Audit Centre :: Identify Risks - Mozilla Firefox

File Edit View History Bookmarks Tools Help

http://www.repositoryaudit.eu/audit/

DRAMBORA interactive Digital Repository Audit Method Based on Risk Assessment

Active Repository: Andrew Repository at University of Glasgow Update

Register a New Repository

Logged in: Andrew McHugh

- User Services Coordinator
- Another Staff Member

at: Andrew Repository at University of Glasgow

Last Login: 24 Jun 2008

Log Out

Home

Online Help

User Admin

Before the Audit

Audit Centre

Report Results

Latest News

Get Expert Help

Download Offline Version

Submit Feedback

Done

DRAMBORA Online Tool :: Audit Centre :: Identify Risks

| Audit Home | Add Mandate | Add Constraints | Add Objectives | Add Activities and Assets |

| Add Risks | Assess Risks | Manage Risks |

identify risks ?

You can choose to define a new risk, or alternatively base your risk definition on an existing generic DRAMBORA risk, or one that you have already defined. Risks describe challenges or threats that impede the achievement of repository objectives, obstruct activities and prejudice the continued availability of essential assets.

Build upon existing risk
Define new risk from scratch

Risk Name: Management Failure

Risk Description: One or more aspect of organisational management is unsuccessful, resulting in a failure to deliver an anticipated or required business outcome.

Risk Vulnerability: Repository management fails to allocate sufficient resources to complete one or more business activities

Risk Vulnerability: Management's adopted preservation strategy is vulnerable to information loss

identified risks

New Interactive Flat

-Management Failure...

Relate Risk To or From Risk(s)

Assess Risk or Manage Risk

defined activities

defined objectives

www.repositoryaudit.eu



Testing DRAMBORA Interactive

2007

- MBooks Michigan-Google Digitization Project, US
- CERN Document Server, Switzerland
- Kungliga Biblioteket, Stockholm
- Gallica, National Library of France
- BDI - National Digital Library of Italy

MBooks



gallica
la bibliothèque numérique

INTERNET
Culturale

2008

- Digital libraries in Europe
- Digital Libraries in North America
- Digital Libraries in Asia



DRAMBORA: Present

- To allow comparisons between peer organisations, profiles of repository types need to be developed
- An attempt at a typical digital library risk profile included in the DELOS report “Investigation of the potential application of the DRAMBORA toolkit in the context of digital libraries to support the assessment of the repository aspects of digital libraries”
- Support for peer comparisons should be built into the DRAMBORA Interactive system

DRAMBORA: Present and Future

- Promotion of the assessment method and toolkit
- 1208 downloads of the toolkit
- 2052 downloads of the forms (Doc + Excel)
- 77 registered audits
- Accreditation of DRAMBORA Auditors (training courses)
- Development of training materials to support self-assessment
- Discussion with other working groups developing repository audit checklists



Conclusions

- In isolation, or combined with other assessment checklists, DRAMBORA offers benefits to repositories both individually and collectively
- DRAMBORA Interactive is offering more than just increased usability of the toolkit
- Need for training audit facilitators and guide the assessment process in the system
- The concept of 'trust' in repositories is evolving through this work and discussions with other groups involved in similar work